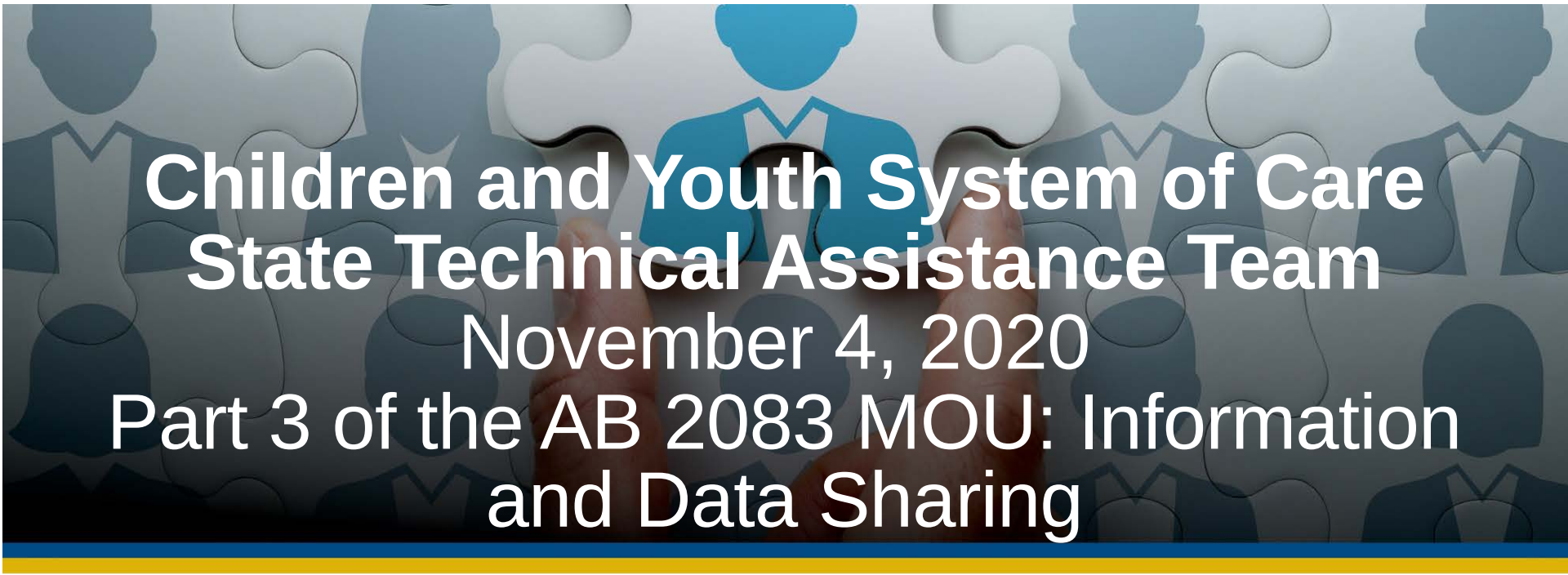


Children and Youth System of Care State Technical Assistance Team

November 4, 2020

Part 3 of the AB 2083 MOU: Information and Data Sharing

DOR DEPARTMENT of REHABILITATION
Employment, Independence & Equality

DHCS

CDSS

DDS

Agenda

- Introductions
- Updates
- Data and Information Sharing Background and Context
- California Department of Education (CDE)/ California Department of Social Services (CDSS)
- Q&A

System of Care Updates

- CDSS
- Department of Developmental Services (DDS)

Polling Questions (For Reference- Will not be in the presentation)

AB 2083 Deliverables

The legislation calls for the following deliverables:

- Memorandum of Understanding (MOU) from local partners including child welfare, regional center, county office of education, probation, and county mental/behavioral health
- State MOU Guidance (https://www.chhs.ca.gov/wp-content/uploads/2019/12/CHHS-Trauma-Informed-System-of-Care-MOU-Guidance-FINAL.pdf)

AB 2083 Deliverables (2)

- Technical Assistance from State Interagency Resolution Team (https://www.chhs.ca.gov/wp-content/uploads/2020/06/AB-2083-Technical-Assistance-Information-Notice.pdf)
- Analysis of gaps in placement types, services, or other issues
- Multi-year plan for increasing capacity and addressing gaps

What is AB 2083 (1)

- Assembly Bill 2083 (https://leginfo.legislature.ca.gov/faces/billTextClient.xhtml?bill_id=201720180AB2083) (Chapter 815, Statutes of 2018), requires each county to develop and implement an MOU outlining the roles and responsibilities of the various local entities that serve children and youth in foster care who have experienced severe trauma.

What is AB 2083 (2)

- The legislation is focused on the child welfare system, but can and must be expanded to look at children and youth served by various other systems.

Source: The California Health and Human Services Agency (CHHS), https://www.chhs.ca.gov/home/system-of-care/

Children and Youth System of Care State Technical Assistance Team

Children and Youth System of Care Technical Assistance State Team Mission

- Promoting collaboration and communication across systems to meet the needs of children, youth and families.
- Supporting timely access to trauma-informed services for children and youth.
- Resolving technical assistance requests by counties and partner agencies, as requested, to meet the needs of children and youth.

Today's Topic: Data Sharing

Our previous presentation focused on youth/client/student level information sharing for care and service coordination.

Today’s presentation and content will focus on data sharing between county agencies and other children and youth System of Care partners.

Background and Context (1)

- Information sharing is complex and often means different things to each agency.
- Numerous federal and state policies apply.
- Organizational alignment to both comply with policy and overcome sharing barriers is critical to successful care.

Background and Context (2)

- Data sharing between partners will allow for monitoring, adapting, and measuring the impact of collective service efforts.
- Data sharing is foundational for Children and Youth System of Care improvement.
- Without sharing outcome data, it is difficult to determine the impact which one agency's efforts may have on other partners' outcomes.

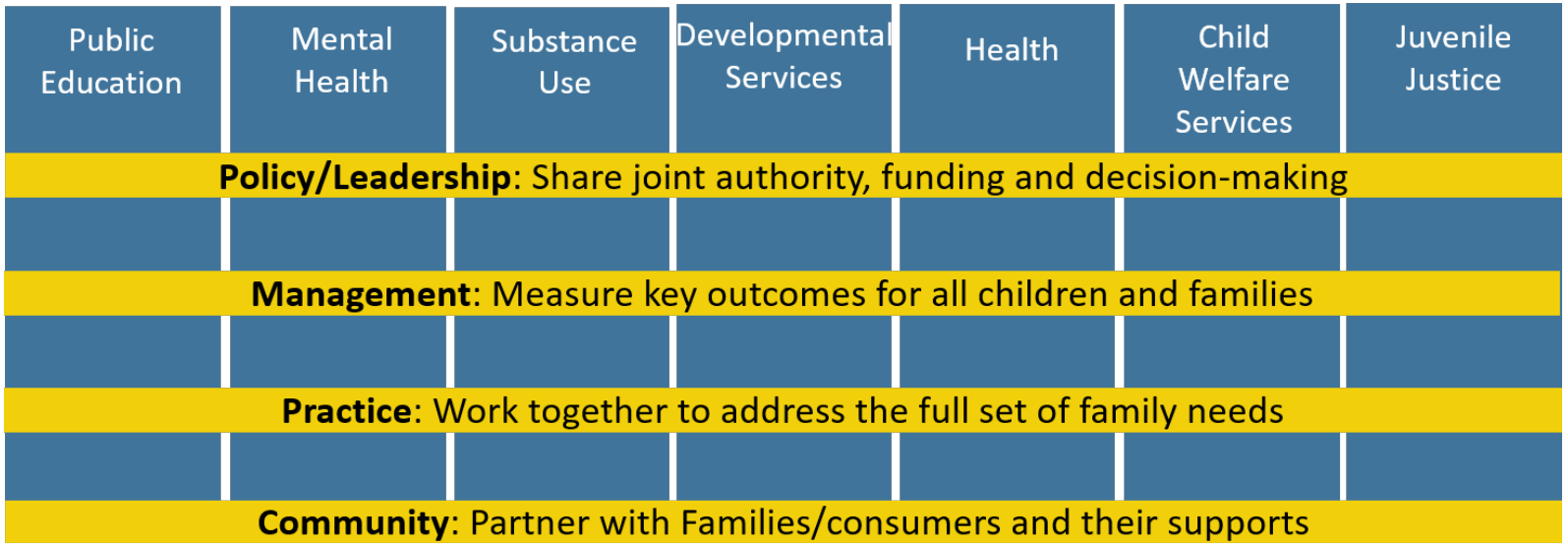
Children’s System of Care Outcomes are Data-Dependent (1)

- Impact of services and investments from one partner are often found in outcomes/outputs of other partners.
- Return on investment of collaboration and integration - visible when partners can see one another’s outcomes (What are "WE” accomplishing because we are together?).

Children's System of Care Outcomes are Data-Dependent (2)

System improvement efforts are sometimes redundant, and local systems can link Quality Improvement/Quality Management (QI/QM) at times to save fiscal and personnel resources and invest those savings back into care delivery.

Children and Youth System of Care requires connecting data on multiple levels



Data and Information Sharing Dilemmas (1)

Effective care provision requires:

- Providers and service personnel to protect the confidentiality and privacy of clients.
- Providers deliver timely and effective care coordination.

These two foundational obligations are sometimes in conflict.

Data and Information Sharing Dilemmas (2)

- Children and Youth System of Care invites and requires partners to understand system effectiveness and engage in continuous improvement of outcomes for all foster youth.
- Continuous improvement requires data analysis which requires data sharing.

State Accountability and Reporting Requirements Tools (1)

All partners have reporting and accountability requirements which may require outputs/outcomes that crossover systems.

- CWS System Improvement
- External Quality Review Organization (MHP)

State Accountability and Reporting Requirements Tools (2)

California School Dashboard and System of Support (https://www.cde.ca.gov/ta/ac/cm/)

- Local Control Accountability Plan (https://www.cde.ca.gov/re/lc/)
- Learning Continuity and Attendance Plan (https://www.cde.ca.gov/re/lc/learningcontattendplan.asp)

State Accountability and Reporting Requirements Tools (3)

Regional Center Transparency

- Regional Center Oversight Dashboard (https://www.dds.ca.gov/rc/dashboard/)
- Performance & Contract Reports (https://www.dds.ca.gov/transparency/monitoring-reports/) and Audits & Reviews (https://www.dds.ca.gov/transparency/monitoring-reports/)

Example of data-based outcomes alignment (Placer County Crisis Resolution Center) (1)

JUVENILE JUSTICE/YOUTH OFFENDER BLOCK GRANT

- 86 youth referred by law enforcement, schools or other systems.
- Ave. 14 days emergency residential; Intensive Family Therapy and After Care.

Example of data-based outcomes alignment (Placer County Crisis Resolution Center) (2)

- 94% diverted from any other county system; average annual cost savings to county and state of $1,715,000.

Provider Outcome Report (https://www.kfh.org/wp-content/uploads/2019/08/CRC_2018-2019.pdf)

Example of data-based outcomes alignment (San Diego County Mental Health Services Act) (1)

FULL-SERVICE PARTNERSHIP (FSP)— Referrals from schools, primary care physician, social service agency; other county agencies. More than half improved or maintained excellent school attendance.

INCREDIBLE FAMILIES (CWS) — Provides outpatient mental health treatment and support services for children and families involved in CWS.

Example of data-based outcomes alignment (San Diego County Mental Health Services Act) (2)

PROBATION DEPARTMENT— Mental Health Services Act (MHSA) funds a Probation Officer to support Full Service Partnership/Assertive Community Treatment programs. Strengthen the collaboration with Public Safety to ensure justice-involved persons with Severe Mental Illness receive the appropriate mental health services.

Example of data-based outcomes alignment (San Diego County Mental Health Services Act) (3)

San Diego MHSA Report (https://www.sandiegocounty.gov/content/dam/sdc/hhsa/programs/bhs/homepage/MHSA/MHSA_Annual Update_18-19.pdf)

Provisions for Data and Information Sharing in AB 2083 (1)

System partners agree, to the fullest extent allowed by law, to share necessary and relevant client specific information in order to conduct treatment, coordinate care and ensure the highest quality care is available to youth and caregivers.

(AB 2083 MOU Guidance Pg. 13 [https://chhs-data-prod.s3.us-west-2.amazonaws.com/uploads/2019/12/CHHS-Trauma-Informed-System-of-Care-MOU-Guidance-FINAL.pdf])

Provisions for Data and Information Sharing in AB 2083 (2)

Members of the Interagency Leadership Team may disclose and exchange information or a writing with one another that may be designated as confidential under state law if the member of the team having that information or writing, reasonably believes it is generally relevant to the identification, reduction, or elimination of barriers to services for, or to placement of, children and youth in foster care or to improve provision of those services or placements.

Provisions for Data and Information Sharing in AB 2083 (3)

AB 2083 MOU Guidance Pg. 13 (https://chhs-data-prod.s3.us-west-2.amazonaws.com/uploads/2019/12/CHHS-Trauma-Informed-System-of-Care-MOU-Guidance-FINAL.pdf)

MOU Development: Considerations for Data Sharing (1)

- Introduce and connect organizational improvement efforts (QM/QI), analysts and other teams across systems.
- Analyze reporting and compliance processes to look for redundancy and cost savings and leverage best practices.

MOU Development: Considerations for Data Sharing (2)

- Execute meaningful and actionable data sharing agreements for use of organizational/agency data.
- Hold each other to shared accountability in pursuit of effectiveness for children/youth.

Other Recommendations on Data Sharing (1)

- Invest in a data governance structure supported by an Interagency Leadership Team.
- Build the capacity of analysts and quality managers.
- Convene leaders from all agencies that support children and their families to elevate the utility of integrated data.

Other Recommendations on Data Sharing (2)

Use the data regularly to improve services.

Shared Outcomes Report Sample (https://www.aecf.org/m/databook/2020KC_profile_CA.pdf)

Universal Data Sharing Agreement

California Department of Education

Liberty van Natten

California Department of Social Services

Alicia Sandoval

November 4, 2020

Universal Data Sharing Agreement (UDSA)

- Purpose and need of data sharing MOU
- What is a UDSA?
- Collaboration process
- Challenges along the way
- Final MOU
- Example data exchange

Information Only Presentation

- How we created an agreement to meet our specific needs
- Between two entities only
- Not a sample for counties to follow

UDSA – Need and Purpose

- Current and future needs for sharing data between agencies
- Timely and secure process
- Flexible to meet changing need
  - Avoid amendments
  - Incorporate new data for new projects
- Evergreen

UDSA - What it is (1)

High-level data sharing agreement

- Sharing that meets both agencies security and legal parameters
- Serves as an umbrella agreement over smaller, individual data sharing exchanges
- No specs or details of data
- Data de-identification

UDSA - What it is (2)

Individual data exchange projects (DEPs)

- Covered under security provisions of the UDSA
- Single use cases
- Data specifications
- How the data are shared
- Purpose of the sharing

UDSA – How We Created It (1)

Collaborative Teams

- Data Folks
- Legal
- Contracts
- Privacy
- Research
- Program
- Technology
- Point person at each agency

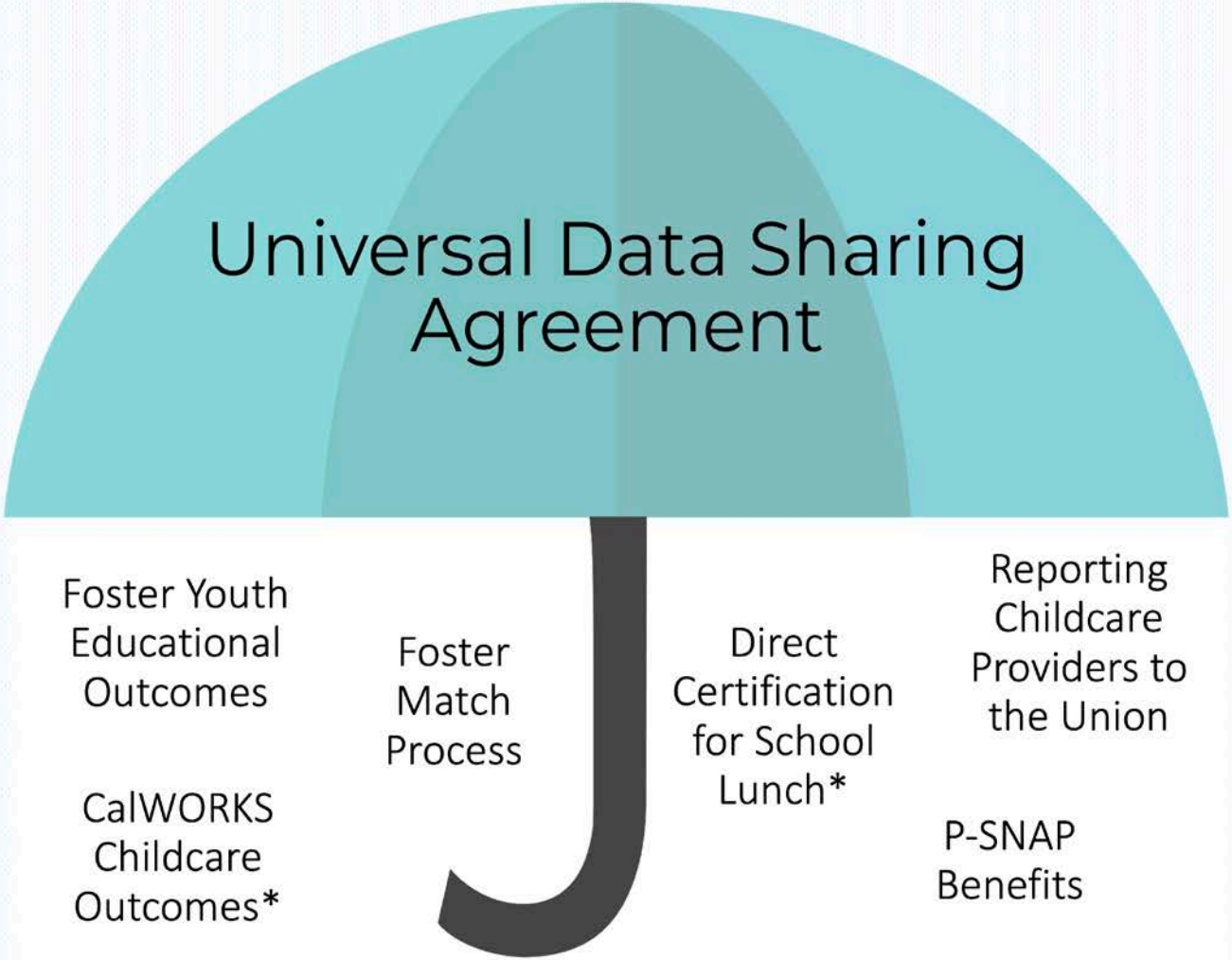
UDSA – How We Created It (2)

Collaboration Resources

- Regular meetings
- Shared location for documents and resources
- Real-time writing and editing

UDSA – Challenges Along the Way

- Different organizational cultures
- Different approaches to sharing data
- Different perspectives of the team
- Big picture and details
- Different priorities and timelines


Universal Data Sharing Agreement
Foster Youth Educational Outcomes
CalWORKS Childcare Outcomes*
Foster Match Process
Direct Certification for School Lunch*
Reporting Childcare Providers to the Union
P-SNAP Benefits

*in-Progress

UDSA – Data Exchange Projects (DEPs)

- Single use case data sharing exchanges
- Data exchange project template
- One-way or bi-directional sharing
- Covered under security and legal agreements of the UDSA

UDSA – DEP Content (1)

- Title and summary of the project
- Timeline
- Statutory authority
- Purpose
- Data systems and data storage

UDSA – DEP Content (2)

- Files, parameters, and elements
- Exchange schedule(s)
- Exchange methods
- Matching of data
- Public disclosures
- Review and approval process

UDSA – DEP Content (3)

- Authorized access
- Contractors, consultants, or vendors
- Researchers
- Other entities
- Additional compliance requirements
- Data disposition

UDSA – DEP Content (4)

- Recurring meeting schedule
- Dispute resolution
- Additional information
- Contacts
- Signatories

UDSA – DEP Process (1)

1. DEP template is drafted by the agency who wants/needs the data
2. Requesting department consults with their program, legal, security, privacy, contracts, and other stakeholders in development of the use case
3. Requesting department engages data providing agency in development of the use case

UDSA – DEP Process (2)

4. Use case reviewed and edits made in track changes by both agencies (multiple times)
5. Once both agencies are in agreement, sent to appropriate signatories, and use case is approved/executed

DEP – Foster Youth Match

Identify Foster Youth for:

- Local Control Funding Formula (LCFF)
- Foster Youth Educational Rights*
- Foster Youth Educational Outcomes

**Foster youth entitled to Educational Rights also include foster youth not identified under the LCFF*

Foster Youth Match Process (1)

- The California Department of Social Services (CDSS) is the primary authoritative source for who is identified as a foster youth under the LCFF.
- Students who are identified as foster by CDSS under the LCFF definition are matched with data in California Longitudinal Pupil Achievement Data System (CALPADS) on a weekly basis.

Foster Youth Match Process (2)

- The “matched” data are then available to LEAs for them to update information in their local student information system (SIS).

Local Control Funding Formula (LCFF) Foster Youth Definition*

(Education Code Section 42238.01(b))

A child or youth who:

- is the subject of a petition filed under Welfare and Institutions Code (WIC) Section 300,
- is the subject of a petition filed under WIC Section 602 and has been ordered by a court to be removed from home, or

Local Control Funding Formula (LCFF) Foster Youth Definition* (2)

- is between the ages 18 and 21, is enrolled in high school, and is a non-minor dependent participating in a transitional living case plan.

** This is a subset of the LCFF foster youth definition and does not include youth under the jurisdiction of a tribal court.*

Foster Youth Data Exist in Different Data Systems

State

CALPADS Data System

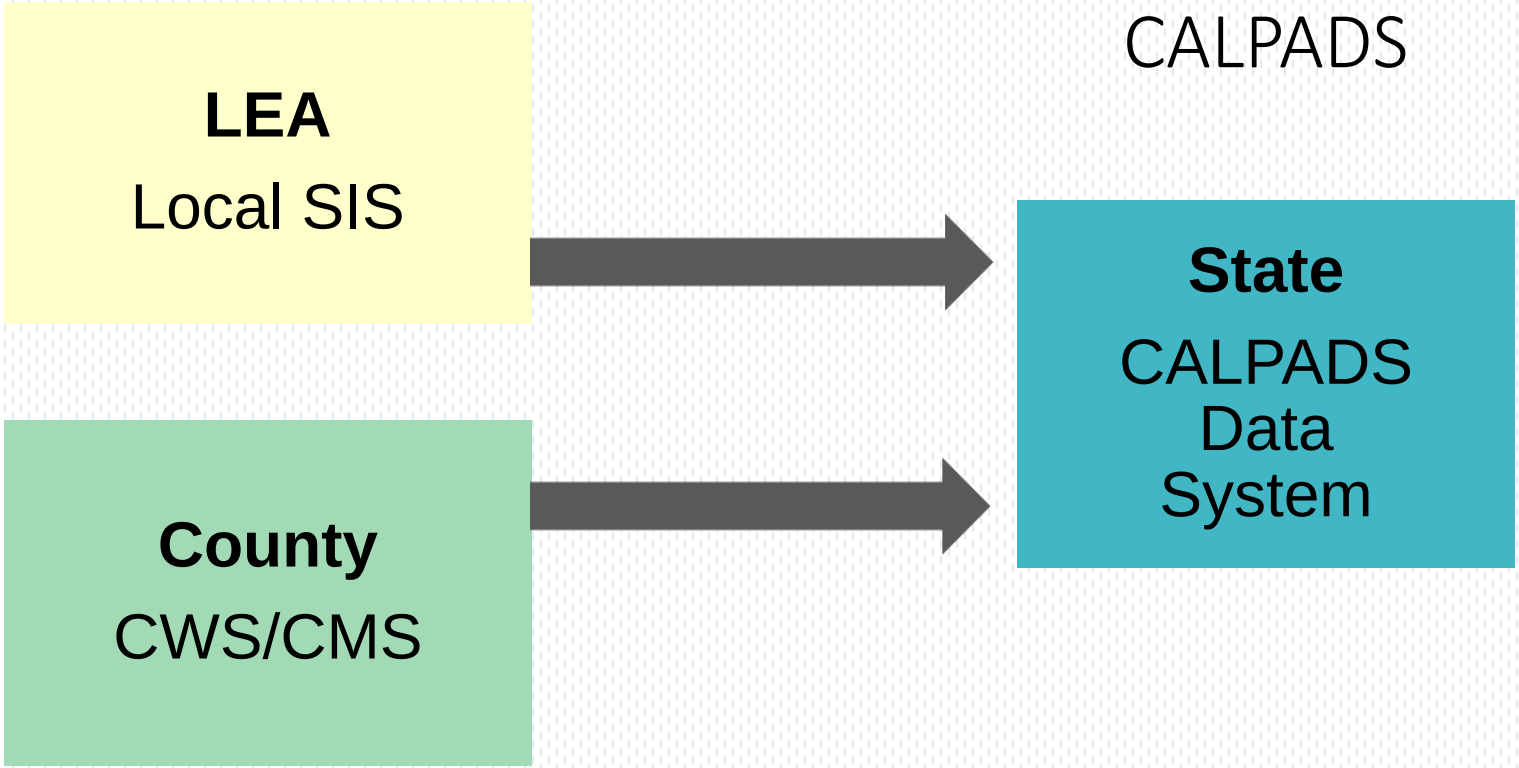
County

Child Welfare Services/Case Management System (CWS/CMS)

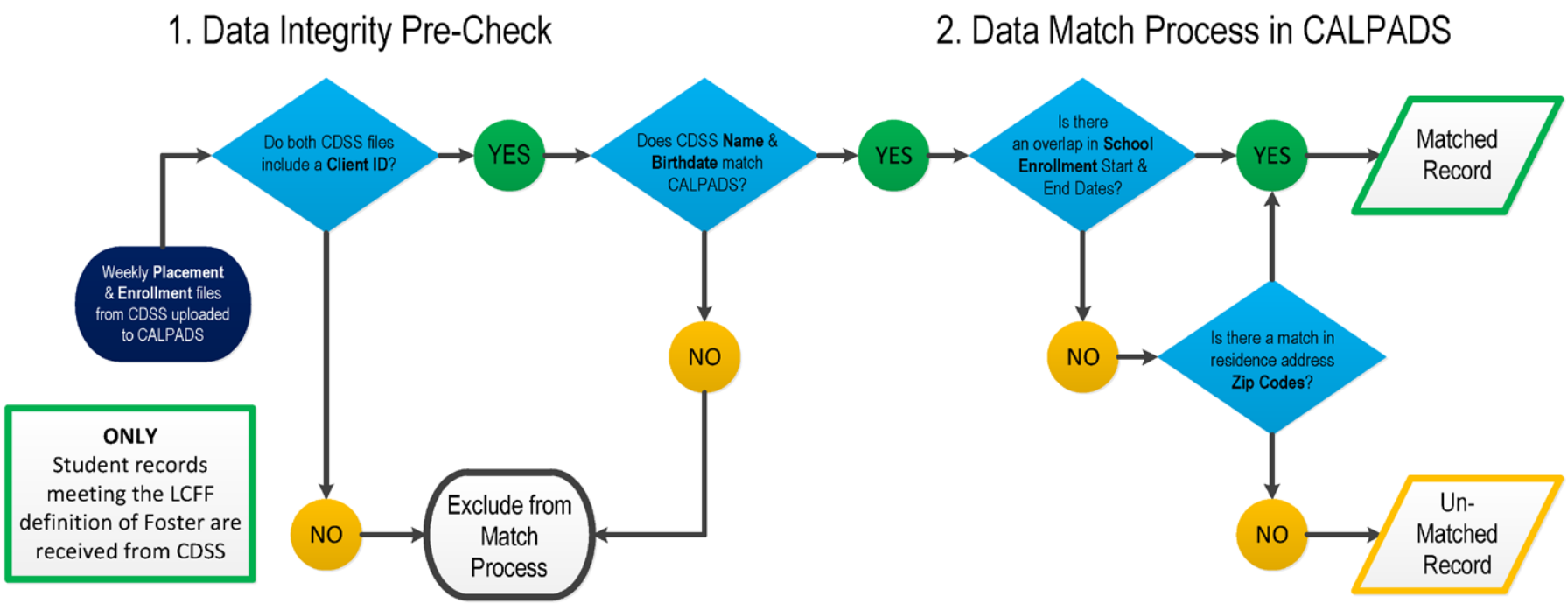
LEA

SIS

Data Submitted to CALPADS



Statewide Foster Match Process



Questions?

Resources (1)

System of Care

- System of Care Tool Kit (https://www.chhs.ca.gov/home/system-of-care/)

Resources (2)

MOU Guidance

- AB 2083 MOU Guidance Information Notice (https://www.chhs.ca.gov/wp-content/uploads/2019/12/AB-2083-MOU-Guidance-Information-Notice-Final.pdf)
- AB 2083 MOU Guidance (https://www.chhs.ca.gov/wp-content/uploads/2019/12/CHHS-Trauma-Informed-System-of-Care-MOU-Guidance-FINAL.pdf)

Resources (3)

Other Information Management Resources

- http://childwelfaresparc.org/wp-content/uploads/2013/10/SPARC-Education-Records-of-Children-in-Foster-Care.pdf
- https://data.chhs.ca.gov
- https://www.aecf.org/resources/using-integrated-data-systems-to-improve-case-management-and-develop-predic/

Next Children and Youth State System of Care Technical Assistance Webinar

January 13, 2021, 10 a.m.

Screening, Assessment and Entry to Care (MOU Part Four)

Call for System of Care Presenters

- Counties/Local Agencies using data sharing agreements
- Local efforts to coordinate or align services
- Efforts to combine assessments and entry into care
- Local efforts at fiscal resource management
- Cross Training
- Any other areas of the MOU

Children and Youth System of Care State Team Email

SystemofCare@DSS.CA.GOV

State Level Technical Assistance Request Form

https://www.surveymonkey.com/r/ZJNKLKY

Addendum A (1)

This slide includes an infographic that includes:

A square that states: State and Local System of Care Workgroup

A two directional yellow arrow.

A box that says: Child and Youth System of Care State Team

Addendum A (2)

Lines from that box that connects to five boxes that include:

- DDS
- DHCS
- DSS
- CDE
- DOE

Addendum B (1)

This slide includes an infographic seven blue columns and four yellow rows.

The seven blue columns include the following titles:

- Public Education
- Mental Health
- Substance Use Disorders
- Developmental Service
- Health
- Child Welfare Services
- Criminal Justice

Addendum B (2)

The yellow rows include:

Policy/Leadership: Share joint authority, funding and decision-making

Management: Measure key outcomes for all children and families

Addendum B (3)

Community: Partner with Families/consumers and their supports

Practice: Work together to address the full set of family needs

Addendum C

Image of an umbrella representing the UDSA which covers the Foster Youth Educational Outcomes, CalWORKS Childcare Outcomes, Foster Match Process, Direct Certification for School Lunch, Reporting Childcare Providers to the Union, and P-SNAP Benefits Data Exchange Projects. The CalWORKS and Direct Certification Projects are in-progress at the time of the presentation.

Addendum D (1)

The following steps are descriptive text for the flowchart in the above page.

Weekly Placement and Enrollment files from CDCC uploaded to CALPADS

- Do both CDSS files include a Client ID?

Addendum D (2)

Data Integrity Pre-Check:

A. If No – Exclude from the Match Process
B. If Yes – Does CDSS Name and Birthdate match CALPADS?
C. If No – Exclude from Match Process

Addendum D (3)

Data Match Process in CALPADS:

D. If Yes – Is there an overlap in School Enrollment Start and End Dates?

E. If Yes – Matched Record

F. If No- Is there a match in residence address Zip Codes?

G. If No- Un-Matched Record

Addendum D (4)

Note: Only Student records meeting the LCFF definition of Foster are received from CDSS.